Design and Analysis of Algorithms Dynamic Programming (I)

- 1 Introduction to Dynamic Programming
- 2 Essence of DP: Shortest Paths in DAGs
- 8 Floyd-Warshall Algorithm: All Pairs Shortest Paths in General Graph
- 4 Longest Increasing Subsequences
- 5 Maximum Interval Sum
- 6 Image Compression

Outline



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Algorithmic Paradigms

We have seen two elegant design paradigms.

- Divide-and-conquer. Break up a problem into independent subproblems, solve each subproblem, combine solutions to subproblems to form solution to original problem.
- Greedy. Build up a solution piece-by-piece, always choosing the next piece that offers the most obvious and immedeiate benefit.
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We now turn to another sledgehammer of the algorithms craft: dynamic programming, techniques of very broad applicability.

• Predictably, the generality often comes with a cost of efficiency.

Dynamic Programming History

Dynamic programming. Break up a problem into a series of overlapping subproblems of the same type, and build up solutions to larger and larger subproblems.

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Richard Bellman. Pioneered the systematic study of DP in 1950s.

- dynamic programming = planning over time ⇒ optimal plan multistage processes
- Secretary of Defense was hostile to mathematical research.
- Bellman sought an impressive name to avoid confrontation.



THE THEORY OF DYNAMIC PROGRAMMING RICHARD BELLMAN

 Introduction. Before turning to a discussion of some representative problems which will permit us to exhibit various mathematical features of the theory, let us present a beir survey of the fundamental concernts, horce, and assistations of dynamic programming.

To keep with the theory assessment to sures the mathematical problems arising from the study of various multi-targe decision pressures, which may roughly be described in the identity are yriv to a study of the study of various study of the study of the described of the study of the study of the study of the determined by the process indiv are are called upon to make dutational study of the study of the study multi-target study of the study of the study of the study multi-target study of the equivalent to transformations of the static variables, the choice of a decision being instruction with the choice of a transformation. The contour of the proceeding decisions in to be used to goids the choice of a decision being instruction of the study with the choice of static stati

Examples of processes fitting this loose description are furnihed by virtually every phase of modern his, from the planning of industrial production lines to the scheduling of patients at a motifical dimit. From the determination of loop-term investments programs for universities to the determination of a replacement policy for minery in factories from the programming of training policies for källed and unskilled labor to the choice of epitinal purchasing and invatory policies of replantment stress and military sublishiments.

Dynamic Programming Applications

Areas

- Bioinformatics.
- Control theory.
- Information theory.
- Operations research.
- Computer science: theory, graphics, AI, compilers, systems, ...

Some famous dynamic programming algorithms

- Unix diff for comparing two files.
- Viterbi for hidden Markov models.
- De Boor for evaluating spline curves.
- Smith-Waterman for genetic sequence alignment.
- Bellman-Ford for shortest path routing in networks.
- Cocke-Kasami-Younger for parsing context-free grammars.

Outline

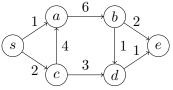


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Shortest Path in DAG

Finding shortest path (from a speical source node) is especially easy in directed acyclic graphs (DAGs). We recapitulate this case, because it lies at the heart of dynamic programming.

- Nodes of DAG can be linearized, i.e., arranged on a line so that all edges go from left to right
- Looking ahead, in this way we create an order



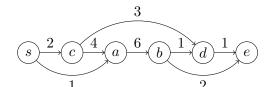


Figure: A DAG and its linearization (topological ordering)

Why this helps with shortest paths

Example. $s \to d$: the only way get to d is through its predecessors b or c, so we need only compare these two routes:

 $\mathsf{dist}(s,d) = \min\{\mathsf{dist}(s,b) + 1, \mathsf{dist}(s,c) + 3\}$

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$$\mathsf{dist}(s,d) = \min\{\mathsf{dist}(s,b) + 1, \mathsf{dist}(s,c) + 3\}$$

A similar relation can be written for every node.

Computing these dist values in the left-to-right order ⇒ before getting to a node v, we already have all the information to compute dist(s, v) ⇒ computing all the distance in a single pass

Algorithm for Shortest Paths in DAG

Algorithm 1: ShortestPath(V, E)

- 1: initialize dist $(s, v) = \infty$ for $s \neq v$ and dist(s, s) = 0;
- 2: for $v \in V \backslash s$ in linearized order do
- 3: $\mathsf{dist}(s,v) = \min_{(u,v) \in E} \{\mathsf{dist}(s,u) + e(u,v)\}$

4: **end**

Algorithm for Shortest Paths in DAG

Algorithm 2: ShortestPath(V, E)

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Two methods to estimate computation complexity:

- Analyze the algorithm: there are at most |E| times comparisions $\Rightarrow O(|E|)$
- Analyze the storage: size of table dist is |V|, computing each item requires at most |V| times comparisions $\Rightarrow O(|V|^2)$
 - the second estimation could be too coarse when the graph is sparse, since in that case $|E| \ll |V|^2$

Recap

The above algorithm solves a collection of subproblems

 $\{\mathsf{dist}(s,u)\}_{u\in V}$

- $\bullet\,$ start from the smallest of them, ${\rm dist}(s,s)$
- then proceed to solve progressively "larger" subproblems: distances to vertices that are further along the linearization
- large subproblems can be solved by previously solved smaller subproblems

Recap

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- large subproblems can be solved by previously solved smaller subproblems
- This is a very generic technique.
 - dist(·, ·) in our particular case computing the *minimum* of sums, we could just as well make it to be *maximum*.
 - Or we could use a product instead of a sum.

Key Property of Dynamic Programming

Iterative optimal substructure

- \exists an ordering on the subproblems and an iterative relation:
 - subproblems appear in the ordering
 - iterative relation shows how to solve a subproblem P using the answers to "smaller" subproblems P', a.k.a. optimal solution for P can be derived from optimal solutions for $P' \subset P$

 \rightsquigarrow admits iteration in a single pass

DP Paradigm

Dynamic programming is a very powerful algorithmic paradigm: a problem is solved by identifying a collection of subproblems and tackling them one by one

- smallest first
- using answers to small problems to solve larger ones
- until reaching the original problem

In dynamic programming, the DAG is *implicit* and should always be kept in mind

- node ↔ subproblem/state (associated with an optimal function value)
- edge a → b represents dependencies between a and b, in other words, if to solve subproblem b we need to the answer to subproblem a, then there is a (conceptual) edge from a to b ⇒ a is thought of as a smaller subproblem than b

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All Pairs Shortest Paths

Life is complicated. Real world needs algorithm for general directed weighted graph: G could have negative edge weights (but with no negative cycles).

- Dijkstra's algorithm fails to handle negative edge weights.
- Bellman-Ford algorithm works correctely with SSSP in general directed graph with higher complexity O(|V||E|).

What if we want to find the shortest path not just from a single-source s but all sources?

Naive idea: invoking Bellman-Ford algorithm |V| times, once for each starting node \rightsquigarrow running time $O(|V|^2|E|)$

• typically, |E| > |V|

Better algorithm?

Floyd-Warshall Algorithm

Floyd-Warshall algorithm: a better dynamic-programming algorithm with better complexity ${\cal O}(|V|^3)$

Basic idea. the shortest path $u \to w_1 \to \cdots \to w_l \to v$ between (u, v) uses some number of intermediate nodes — possibly none.

• Suppose we disallow intermediate nodes altogether \sim solve all-pairs shortest paths at once: dist(u, v) = e(u, v).

What if we gradually expand the set *S* of permissible intermediate nodes?

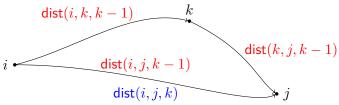
We can do this one node at a time, updating the shortest path lengths at each stage.

• Eventually S grows to $V \Rightarrow$ at this point all vertices are allowed to be on all paths \rightsquigarrow find the true shortest paths between vertices of the graph.

Dynamic Programming on Intermediates

Number the vertices in V as $\{1, 2, ..., n\}$, and let dist(i, j, k) denote the length of the shortest path from i to j in which only nodes $\{1, 2, ..., k\}$ can be used as intermediates.

• Initially, dist(i, j, 0) is the length of the direct edge between i and j if it exists and is ∞ otherwise.



Gradually increase the number of admissble intermediate node. The initial value of dist(i, j, k) is dist(i, j, k - 1).

Using k gives us shorter path from i to j if and only if

 $\mathsf{dist}(i,k,k-1) + \mathsf{dist}(k,j,k-1) < \mathsf{dist}(i,j,k-1)$

In this case, dist(i, j, k) should be updated accordingly.

Floyd-Warshall Algorithm

Algorithm 3: FloydWarshall(G = (V, E))

```
1: for i = 1 to n do
2:
   for i = 1 to n do
           dist(i, j, 0) = \infty
3.
       end
4.
5: end
6: for (i, j) \in E do dist(i, j, 0) = e(i, j);
7: for k = 1 to n do
       for i = 1 to n do
8:
           for j = 1 to n do
9:
               dist(i, j, k) = \min\{dist(i, k, k-1) + dist(k, j, k-1)\}
10:
                1), dist(i, j, k-1)}
           end
11:
       end
12:
```

13: end

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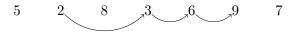
Longest Increasing Subsequences

Input: a sequence of numbers a_1, \ldots, a_n .

- A subsequence is any subset of these numbers taken in order, of the form a_{i_1}, \ldots, a_{i_k} where $1 \le i_1 \le \cdots \le i_k \le n$.
- An *increasing* subsequence is one in which the numbers are getting strictly larger.

Goal: find the increasing subsequence of greatest length.

Example

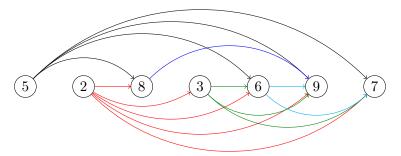


The arrow denotes transitions between consecutive elements of the optimal solution in the original sequence.

The DAG of Increasing Subsequence

Goal: find the optimal soultion from the solution space (all increasing subsequences) \Rightarrow create a graph of all permissible transitions for increasing subsequence

- Establish a node *i* for each element a_i , add directed edges (i, j) whenever it is possible for a_i and a_j to be consecutive elements in an increasing subsequence, i.e., $i < j \land a_i < a_j$
- G = (V, E) is a DAG, since $(i, j) \in E$ is only possible when i < j
 - there is a one-to-one correspondence between increasing subsequences and paths in this DAG



Dynamic Programming

Our goal translates LIS to finding the longest path (each edge with weight 1) in the DAG.

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To solve LIS, we defined a collection of subproblems $\{L(j)\}_{j \in [n]}$ with the optimal sub-structure property that allows them to be solved in a single pass.

Algorithm 4: LIS(A)

1: for j = 1 to n do $L(j) = 1 + \max\{L(i) : (i, j) \in E\}$;

2: return $\max_{j} \{L(j)\}$

• Note that $(i, j) \in E$ is possible only when i < j.

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The computation of L(j) then takes time proportional to the indegree of $j \leadsto$ overall running time linear in |E|

• The maximum being when the input array is sorted in increasing order $\rightsquigarrow W(n) = |E| = O(n^2)$

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LIS computes longest path in reverse DAG for each "source" node then selects the max, while ShortestPath computes the shortest path in DAG from one given source node to all other nodes.

Trace Solution

There is one last issue to be cleared up.

The L-values only tell us the length of the optimal subsequence, how to recover the subsequence itself?

- This is easily managed with bookkeeping device
 - when computing L(j), note down prev(j), the next-to-last node on the longest path to j (think how?)
- The optimal subsequence can then be reconstructed by the following these backpointers.

Recursion? No, thanks.

Returning to our discussion of longest increasing subsequences

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• Suppose the given numbers are sorted. Clearly, this is the worse case. The formula for subproblem L(j) becomes:

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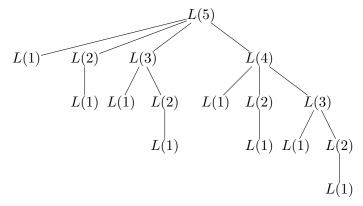
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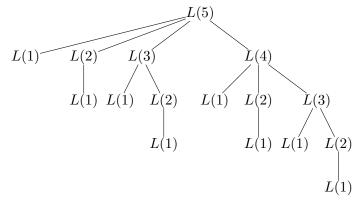
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The following figure unravels the recursion for L(5). Notice the same subproblems get solved over and over again.

Why Recursion is Not Good?

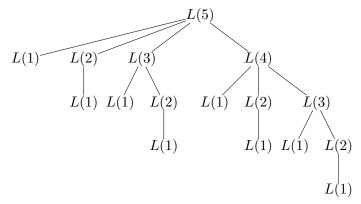


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Nodes correspond to the computation cost. Let C(n) be the number of nodes on the tree for L(n). We have T(n) = C(n).

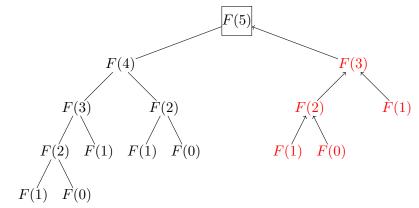
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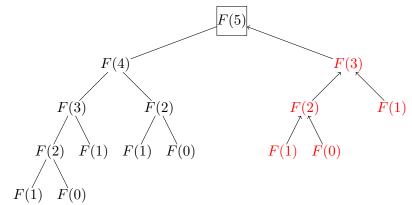


Nodes correspond to the computation cost. Let C(n) be the number of nodes on the tree for L(n). We have T(n) = C(n). Clearly, we have the following iterative relation:

$$C(n) = C(n-1) + \dots + C(2) + C(1)$$

• C(n) is exponentially in $n \sim$ a recursive solution is disastrous

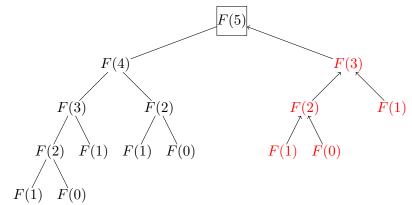




Recursive approach: complexity is F(n).

• Let C(n) be the nodes on the tree for F(n), we have:

$$C(n) = C(n-1) + C(n-2) = F(n)$$

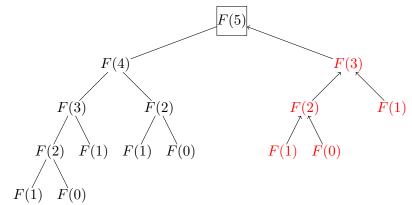


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Iterative approach: complexity is O(n).

Divide-and-conquer approach: complexity is $O(\log n)$.

Dynamic Programming vs. Divide-and-Conquer

In the realm of divide-and-conquer, a problem is expressed in terms of subproblems that are *substantially smaller*, say half the size.

- For instance, MergeSort sorts an array of size n by recursively sorting two subarrays of size n/2.
- The sharp drop in problem size, the full recursion tree has only logarithmic depth and a polynomial number of nodes.

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In dynamic programming, the problem is reduced to subproblems that are only slightly smaller. Thus the full recursion tree generally has polynomial depth and exponentially number of nodes.

- However, most of these nodes are repeated → not too many distinct subproblems among them.
- Efficiency is therefore obtained by explicitly enumerating the distinct subproblems and solving them in the right order.

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Maximum Interval Sum (最大子段和)

Problem. Given an integer array (possibly negative) A[n]

$$(a_1, a_2, \ldots, a_n)$$

Goal. Find the maximum interval sum:

$$\mathsf{MIS} = \max\{0, \max_{1 \le i \le j \le n} \sum_{k=i}^{j} a_k\}$$

Example. (-2, 11, -4, 13, -5, -2)

Solution: $MIS = a_2 + a_3 + a_4 = 20$

Brute Force: enumerate all possible (i, j) pairs $(i \le j)$, compute the sum $a_i + \cdots + a_j$ and find the largest.

Divide-and-Conquer: Split the array into left halve and right halve, compute max interval in left halve, right halve and cross one, then find the largest

Dynamic Programming

Brute Force Algorithm

Algorithm 8: Enumerate(A[n])**Output:** MIS, i^* , j^* 1: MIS \leftarrow 0; 2: for $i \leftarrow 1$ to n do for $j \leftarrow i$ to n do //enumerate all possible (i, j)3: $sum \leftarrow 0$: 4. for $k \leftarrow i$ to j do //compute sum of A[i, j]5: $sum \leftarrow sum + A[k];$ 6: end 7. if sum > MIS then //update max interval sum 8. MIS \leftarrow sum. $i^* \leftarrow i$. $j^* \leftarrow j$: 9: end $10 \cdot$ end 11: 12: end

Brute Force Algorithm

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Complexity: $n^2 \times O(n) = O(n^3)$

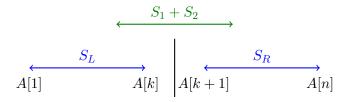
Divide-and-Conquer

Break A[n] into left halve A[1,k] and right halve A[k+1,n], with median k

- Recursively compute S_L for A_L
- Recursively compute S_R for A_R

Compute the max sum S_1 with k as the right boundary, compute the max sum S_2 with k + 1 as the left boundary,

 $\mathsf{Output}\,\max\{S_L,S_R,S_1+S_2\}$



Pseudocode of Divide-and-Conquer Algorithm

Algorithm 10: MaxIntervalSum(A[i, j])

Output: max interval MIS and left/right boundary

1: if
$$i = j$$
 then return $\max\{A[i], 0\}$ and boundaries; $//|A| = 1$

2:
$$k \leftarrow \lfloor (i+j)/2 \rfloor;$$

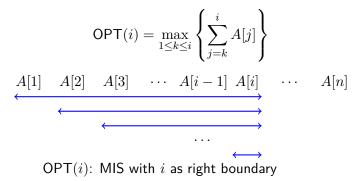
- 3: $S_L \leftarrow \mathsf{MaxIntervalSum}(A, i, k)$;
- 4: $S_R \leftarrow \mathsf{MaxIntervalSum}(A, k+1, j)$;
- 5: $S_1 \leftarrow \mathsf{MaxOneside}(A, i, k, \leftarrow)$;
- 6: $S_2 \leftarrow \mathsf{MaxOneside}(A, k+1, j, \rightarrow)$;
- 7: return $\max\{S_L, S_R, S_1 + S_2\}$ and boundaries;
 - If $A[i] \leq 0$, set the left and right boundary as 0
 - The complexity of MaxOneside is O(n).

$$\left. \begin{array}{c} T(n) = 2T(n/2) + O(n) \\ T(1) = O(1) \end{array} \right\} \Rightarrow T(n) = O(n \log n)$$

Dynamic Programming

Subproblem: left boundary is 1, right boundary is i

Optimized function: OPT(i) — maximum interval sum in A[1, ..., i] that must include A[i], with i as the right boundary



Directly compute $\mathsf{OPT}(i)$ according to the definition will be rather inefficient.

Iterative Relation of Optimized Function

Iterative relation of $\mathsf{OPT}(i):$ depending on the contribution of $\mathsf{OPT}(i-1)$

- OPT(i-1) < 0: the interval only consists of A[i]
- $\mathsf{OPT}(i-1) \ge 0$: the interval connects to previous interval

Iterative Relation of Optimized Function

Iterative relation of $\mathsf{OPT}(i):$ depending on the contribution of $\mathsf{OPT}(i-1)$

- OPT(i-1) < 0: the interval only consists of A[i]
- $\mathsf{OPT}(i-1) \ge 0$: the interval connects to previous interval

$$\mathsf{OPT}(i) = \max\{\mathsf{OPT}(i-1) + A[i], A[i]\}, i = 2, \dots, n$$

 $\mathsf{OPT}(1) = A[1]$

$$\mathsf{MIS} = \max_{1 \le i \le n} \{\mathsf{OPT}(i)\}$$

Pseudocode

Algorithm 11: DPMaxIntervalSum(A[n])

1:
$$\mathsf{MIS} \leftarrow 0, i^* \leftarrow 0, j^* \leftarrow 0;$$

- 2: OPT(1) = A[1], L(1) = 1 / /L(i) records the real left boundary of OPT(i);
- 3: for i=2 to n do //i: right boundary of subproblem
- 4: if $\mathsf{OPT}(i-1) > 0$ then

5:
$$\mathsf{OPT}(i) \leftarrow \mathsf{OPT}(i-1) + A[i];$$

6:
$$L(i) \leftarrow L(i-1);$$

7: **end**

8: else
$$\mathsf{OPT}(i) \leftarrow A[i], \ L(i) = i;$$

9: **if** OPT(i) > MIS then

10:
$$MIS \leftarrow OPT(i), i^* \leftarrow L(i), j^* \leftarrow i$$

11: **end**

12: **end**

13: return MIS, i^*, j^* ;

Time and space complexity: O(n) (think why?)

Outline



- Essence of DP: Shortest Paths in DAGs
- 3 Floyd-Warshall Algorithm: All Pairs Shortest Paths in General Graph
- 4 Longest Increasing Subsequences
- 5 Maximum Interval Sum



Grayscale image can be viewed as a sequence of pixels (each pixel ranges from $0\sim255,$ 8-bit/1-byte)

 $\{a_1, a_2, \ldots, a_n\}$, a_i is the gray value of the i-th pixel



- a good test image because of its detail, flat regions, shading, and texture.
- Lena Forsén was also guest of honor at the banquet of IEEE ICIP 2015, delivered a speech and chaired the best paper award ceremony.

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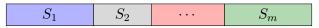
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Observe that image usually has some local pattern. Any better storage method?

Variable-Length Compression

Format of variable-length compression. Encoding grayscale values with variable-length to save storage: divide $\{a_1, a_2, \ldots, a_n\}$ into m segments: S_1, S_2, \ldots, S_m



 S_k contains ℓ_k number of pixels, pixels in S_k take at most $b_k\mbox{-bit}$

$$b_k = \max_{a \in S_k} \{ \lceil \log(a+1) \rceil \}$$

- fix the maximal length of S_k be $256 \Rightarrow \ell_k$ can be represented by 8-bit
- b_k of S_k is among $[1, 8] \Rightarrow b_i$ can be represented by 3-bit
- header of S_k : $\ell_k + b_k = 11$ bit \sim necessary for decoding

total storage =
$$\sum_{k=1}^{m} (b_k \cdot \ell_k + 11)$$

Constraint:

- the length of $k\text{-th segment: }\ell_k\leq 256$
- the k-th segment takes: $b_k \times \ell_k + 11$
- $b_k = \lceil \log(\max_{a \in S_k} + 1) \rceil \le 8$

Goal: given $\{a_1, a_2, \ldots, a_n\}$, find the optimal partition:

$$\begin{split} \min_{P} \left\{ \sum_{k=1}^{m} (b_k \times \ell_k + 11) \right\} \\ P = \left\{ S_1, S_2, \dots, S_m \right\} \text{ is a partition} \end{split}$$

Example

Sequence of grayscale values $\{10, 12, 15, 255, 1, 2, 1, 1, 2, 2, 1, 1\}$ **1** $S_1 = \{10, 12, 15\}, S_2 = \{255\}, S_3 = \{1, 2, 1, 1, 2, 2, 1, 1\}$ $11 \times 3 + 4 \times 3 + 8 \times 1 + 2 \times 8 = 69$ **2** $S_1 = \{10, 12, 15, 255, 1, 2, 1, 1, 2, 2, 1, 1\}$ $11 \times 1 + 8 \times 12 = 107$ **3** $S_1 = \{10\}, S_2 = \{12\}, S_3 = \{15\}, S_4 = \{255\}, S_5 = \{1\}, S_6 = \{1, 2, 5\}, S_7 = \{1, 2, 5\}, S_8 = \{1$ $S_6 = \{2\}, S_7 = \{1\}, S_8 = \{1\}, S_9 = \{2\}, S_{10} = \{2\}.$ $S_{11} = \{1\}, S_{12} = \{1\},$ $11 \times 12 + 4 \times 3 + 8 \times 1 + 1 \times 5 + 2 \times 3 = 163$

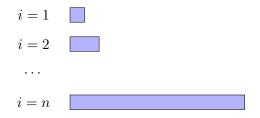
Conclusion: the first partition is better

Dynamic Programming Method

Subproblem: left boundary is always 1, right boundary is i

- Pixel sequences: $\{a_1, a_2, \ldots, a_i\}$
- Optimized function: $\mathsf{OPT}(i)$ is the minimal storage bits for $\{a_1,\ldots,a_i\}$

Computation order



Algorithm Design

 $\mathsf{OPT}(i)$: the optimal storage for $\{a_1, a_2, \ldots, a_i\}$. Let S_m be the last segment, ℓ_m be its length. The iterative relation of OPT is:

$$\mathsf{OPT}(i) = \min_{1 \le \ell_m \le \min\{i, 256\}} \{\mathsf{OPT}(i - \ell_m) + \ell_m \times b_m + 11\}$$
$$b_m = \left\lceil \log(\max_{a \in S_m} \{a\}) \right\rceil \le 8$$
$$\mathsf{OPT}(0) = 0$$

$$\begin{array}{c|c} a_1, a_2, \dots, a_{i-\ell} & a_{i-\ell+1}, a_2, \dots, a_i \\ \hline \text{the first } i - \ell_m \text{ pixels} & m\text{-th segment: } \ell_m \text{ pixels} \\ \text{OPT}(i - \ell_m) & \ell_m \times b_m + 11 \\ S_1, \dots, S_{m-1} & S_m \end{array}$$

Algorithm 12: Compress(I, n) / compute OPT(n)

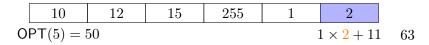
1:
$$OPT(0) \leftarrow 0$$
;
2: for $i \leftarrow 1$ to n do //right boundary of subproblem
3: $OPT(i) \leftarrow +\infty, L(i) \leftarrow 0$;
4: for $\ell_m \leftarrow 1$ to min $\{i, 256\}$ do
5: $b_m = \text{length}(i - \ell_m + 1, i)$;
6: if $OPT(i) > OPT(i - \ell_m) + \ell_m \times b_m + 11$ then
update $OPT(i), L(i) \leftarrow \ell_m$;
7: end

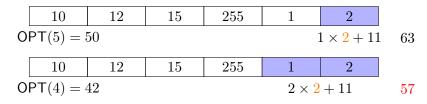
- 8. end
 - ℓ_m denote is length of the last candidate segment S_m
 - $\bullet~ {\rm length}(\alpha,\beta)$ is the function that computes b_{\max} for $I[\alpha,\beta]$
 - L(i) is the length of the last segment S_m with i as the right boundary (last segment in optimal partition for subproblem [1,i]): used for trace back partition.
 - $\mathsf{OPT}(i) \leftarrow +\infty:$ simply trigger the iteration

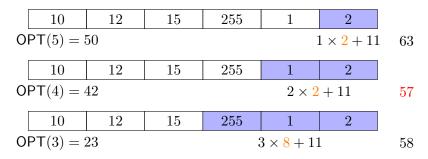
Complexity: O(256n)

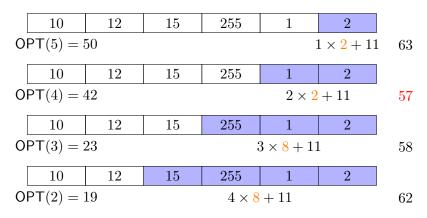
Input: $I = \{10, 12, 15, 255, 1, 2\}$. Suppose we have finish the computation of subproblems up to right boundary i = 5.

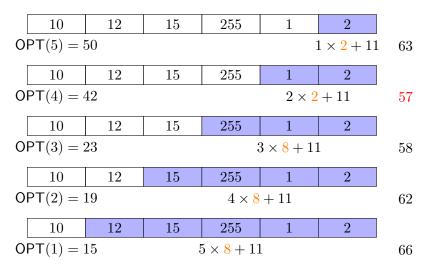
i	1	2	3	4	5	6
OPT(i)	15	19	23	42	50	?
L(i)	1	2	3	1	2	?

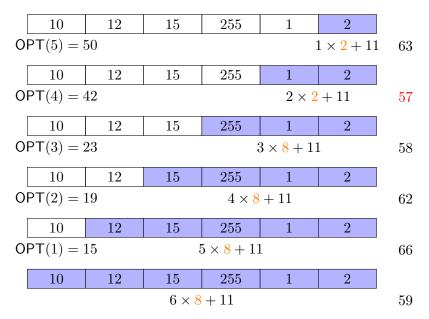












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Trace Optimal Solution

Algorithm 13: Traceback(L(n)) (input is the trace table)

Output: optimal partition P1: $k \leftarrow 1$; while $n \neq 0$ do 2: $P(k) \leftarrow L(n)$; 3: $n \leftarrow n - L(n)$; 4: $k \leftarrow k + 1$;

- 5: **end**
- 6: reverse P;
 - P(k): the length of k-th segment
 - Complexity: O(n)